

# Homotopy category + Quillen functors

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Today: Previously: axioms, examples, homotopy relation

- Homotopy category  $\text{Ho}(\mathcal{M})$ : localisation of model category  $\mathcal{M}$  w.r.t. weak equivalences
- Derived functors between homotopy categories

Throughout:  $\mathcal{M}, \mathcal{N}$  model categories  
 $\mathcal{C}, \mathcal{D}$  categories

## $\S$ (Co)fibrant replacement

Assume: model categories have functorial factorisations.

Okay: most mod. cat's we care about have this, by small diag. arg.

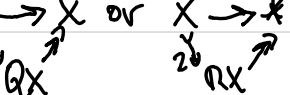
Def. A functorial factorisation on  $\mathcal{C}$  is a section of

$$d_1 = \circ : \text{Fun}([2], \mathcal{C}) \rightarrow \text{Fun}([1], \mathcal{C}).$$

Cor. A model category  $\mathcal{M}$  has an endofunctor  $Q$  with a natural w.e.  $q: Q \xrightarrow{\cong} \text{id}_{\mathcal{M}}$  s.t.  $QX$  is cofibrant for every  $X \in \mathcal{M}$ . We call  $Q$  cofibrant replacement.

Dually: fibrant replacement  $r: \text{id}_{\mathcal{M}} \xrightarrow{\cong} R$ .

Prob. Factor  $X \rightarrow X$  or  $X \rightarrow *$ .



□

Lem.  $Q$  and  $R$  create weak equivalences.

Proof.  $f: X \rightarrow Y$  in  $\mathcal{M} \rightsquigarrow QX \xrightarrow{q_X} X$

$$\begin{array}{ccc} & Qf \downarrow & \downarrow q_Y \\ QY & \xrightarrow{\sim} & Y \end{array}$$

□

### § Homotopy category

Recall: Homotopy relation  $\sim$  on  $\text{Hom}_{\mathcal{M}}(A, X)$  equiv. rel. if  
 $A$  const. and  $X$  h.s., respected by composition.

Def. The homotopy category  $\text{Ho}(\mathcal{M})$  of  $\mathcal{M}$  has:

- objects: objects of  $\mathcal{M}$ .
- maps:  $\text{Hom}_{\text{Ho}(\mathcal{M})}(X, Y) := \text{Hom}_{\mathcal{M}}(RQX, RQY)/\sim$ .

(commuting  $R$  and  $Q$  is equivalent)

- $\text{id}_X = [\text{id}_{RQX}]$ ,  $[g] \circ [f] = [g \circ f]$ .

Canonical identity-on-objects functor  $\gamma: \mathcal{M} \rightarrow \text{Ho}(\mathcal{M})$  with  
 $f \mapsto [RQf]$ .

Thm.  $\mathcal{Y}: \mathcal{M} \rightarrow \text{Ho}(\mathcal{M})$  is the localisation of  $\mathcal{M}$  w.r.t. the weak equivalences.

Def. A functor  $F: \mathcal{M} \rightarrow \mathcal{C}$  is homotopical if it sends w.e.s to isos. A functor  $F: \mathcal{M} \rightarrow \mathcal{N}$  is homotopical if it preserves w.e.'s. (Difference: assume model categories to have (co)limits)

Ex.  $\mathcal{J}\mathcal{T}_n, \mathcal{K}_n, \mathcal{W}_n$  (singular, complexes), not: (co)limits.

Lem. A homotopical functor  $F: \mathcal{M} \rightarrow \mathcal{C}$  identifies left or right homotopic maps.  $\curvearrowleft$  (good)

Proof. Let  $H: \text{Cyl}(X) \rightarrow Y$  be a left homotopy from  $f$  to  $g$ .  
 $i_0, i_1: X \rightarrow \text{Cyl}(X)$  both sections of cylinder projection  
 $q: \text{Cyl}(X) \xrightarrow{\sim} X$ , so  $F_{i_0}, F_{i_1}$  both sections of  $\text{iso } F_q$   
 $\curvearrowleft F_{i_1} = F_{i_0}$ . Now:  $Ff = FH \circ F_{i_0} = FH \circ F_{i_1} = Fg$ .  $\square$

Lem. A map  $f$  in  $\mathcal{M}$  is a w.e. if and only if  $\mathcal{Y}f$  in  $\text{Ho}(\mathcal{M})$  is an iso.

Proof.  $f$  w.e.  $\Leftrightarrow RQf$  w.e.  $(Q + R \text{ create w.e.})$

$\Leftrightarrow RQf$  htpy equiv. (Whitehead)

$\Leftrightarrow [RQf] = \mathcal{Y}f$  iso.  $\square$

Proof (cont'dn). If localisation: - if homotopical ✓  
 - for any homotopical  $F: \mathcal{M} \rightarrow \mathcal{C}$ , (lemma)

$$\begin{array}{ccc} \mathcal{M} & \xrightarrow{F} & \mathcal{C} \\ \downarrow \gamma & \nearrow \exists! \text{Ho}(F) & \\ \text{Ho}(\mathcal{M}) & & \end{array}$$

(\*)

Define  $\text{Ho}(F): X \mapsto F(X)$  on objects.

Have natural SD:

$$\alpha: F \xrightarrow[\cong]{(Fq)^{-1}} FQ \xrightarrow[\cong]{FrQ} FQRQ.$$

Let  $q: RQX \rightarrow RQY$  represent a map  $X \rightarrow Y$  in  $\text{Ho}(\mathcal{M})$ .

Then define

$$\text{Ho}(F)([q]): FX \xrightarrow{\alpha_X} FQRQX \xrightarrow{FrQ} FQRQY \xrightarrow{\alpha_Y^{-1}} FY.$$

Well-defined: by lemma. (homotopical functor identifies lifts)

Functorial: by functoriality of  $F$ . ( $\alpha_Y \circ \alpha_Y^{-1} = \text{id}$ )

(\*) commutes: by naturality of  $\alpha$ .

$$(\alpha_Y^{-1} \circ FrQ \circ \alpha_X = \alpha_Y^{-1} \circ \alpha_Y \circ FrQ = Fq.)$$

Uniqueness: if  $q: RQX \rightarrow RQY$  represents a map  $X \rightarrow Y$ ,

consider:  $RQX \xleftarrow[\sim]{q} QRAX \xrightarrow[\sim]{r} RQRQX$

$$\begin{array}{ccccc} & q & & & r \\ & \downarrow & & & \downarrow \text{RQRQY} \\ RQY & \xleftarrow[\sim]{q^*} & QRQY & \xrightarrow[\sim]{r^*} & RQRQY \\ \text{has image given} & & \text{C} & & \text{must have} \\ \text{by composite around} & & & & \text{image fit by} \\ \text{diagram} & & & & (*) \\ & & & & \square \end{array}$$

Cor. Singular homology  $H_n : \text{Top} \rightarrow \text{Ab}$  factors through  $\text{Ho}(\text{Top})$ . Homotopy groups  $\pi_n : \text{Top}_* \rightarrow \text{Set}$  or  $\text{Grp}$  factor through  $\text{Ho}(\text{Top}_*)$ .

↪ Slice categories admit mod. str.

Created by  $M/x \rightarrow M$

Cor.  $\gamma$  induces an isomorphism

$$\gamma^* : \text{Fun}(\text{Ho}(M), \mathcal{C}) \xrightarrow{\cong} \text{Fun}^{\text{ho}}(M, \mathcal{C})$$

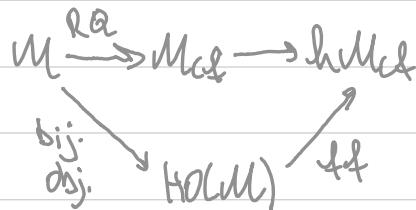
full subcat  
on homotopical functors

of categories.

Proof. Using similar techniques as thm.  $\square$

Rmk.  $\text{Ho}(M) \cong \text{full}_{\text{af}}$  with:

- objects: bifibrant objects of  $M$ ;
- htpy classes of maps in  $M$ .



Weaker univ. prop.:  $\text{Fun}(\text{Ho}(M), \mathcal{C}) \cong \text{Fun}^{\text{ho}}(M, \mathcal{C})$ .

↪  $\text{Ho}(\text{Top}) \cong \text{Ho}(CW)$  of CW-complexes and htpy classes.

(by CW-approximation)

## § Derived functors

If  $F: M \rightarrow N$  is homotopical, it factors through  $\text{Ho}(M)$  via  $\text{Ho}(F)$ . What about non-homotopical functors?

We will consider approximations of  $\text{Ho}(F)$ .

↪ derived functors

For  $F: M \rightarrow N$  homotopical between model categories,  
 $F$  does in general NOT factor through  $\text{Ho}(M)$  by this.,,  
but  $M \xrightarrow{F} N \xrightarrow{\delta} \text{Ho}(N)$  does!

Also consider approximations of  $\text{Ho}(SF)$  for non-homotopical  $F$ .

↪ total derived functors

Will be used to compare model categories / homotopy theories.

Approximation problems occur more generally in category theory: studied using Kan extensions.

Def. A left Kan extension of  $F: \mathcal{C} \rightarrow \mathcal{E}$  along  $K: \mathcal{C} \rightarrow \mathcal{D}$  is:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ K \downarrow & \Downarrow \eta & \nearrow \text{Lam}_K F \\ \mathcal{D} & & \end{array}$$

Such that:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ K \downarrow & \Downarrow \text{H}\alpha & \nearrow \text{HG} \\ \mathcal{D} & = & \mathcal{C} \xrightarrow{F} \mathcal{E} \\ & \Downarrow \eta \text{ Lam}_K F & \nearrow \exists! G \\ & \mathcal{D} & \end{array}$$

$\text{Lam}_K F$  is absolute if for every  $H: \mathcal{E} \rightarrow \mathcal{F}$ ,  $H \circ \text{Lam}_K F + H\eta$  is a left Kan extension of  $HF$  along  $K$ .

Dually: a right Kan extension of  $F$  along  $K$ :

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{E} \\ K \downarrow & \uparrow \text{R}\epsilon & \nearrow \text{Ran}_K F \\ \mathcal{D} & \text{s.t.} & \mathcal{C} \xrightarrow{F} \mathcal{E} \\ & \uparrow \text{VR} & \nearrow \exists! G \\ & \mathcal{D} & = \mathcal{C} \xrightarrow{F} \mathcal{E} \\ & \uparrow \text{R}\epsilon & \nearrow \exists! G \\ & \mathcal{D} & \end{array}$$

Absolute ness: analogously.

Def. A left derived functor of  $F: M \rightarrow \ell$  is an absolute right Kan extension of  $F$  along  $\gamma: M \rightarrow \text{Ho}(M)$ , denoted  $LF: \text{Ho}(M) \rightarrow \ell$ .

A right derived functor of  $F$  is an absolute left Kan extension of  $F$  along  $\gamma$ , denoted  $RF$ .

- $\Rightarrow$  uniqueness up to natural iso  $\rightsquigarrow$  the derived functors.
- Already seen: homotopical functors  $F \rightsquigarrow LF = RF = \text{Ho } F$ .

Thm. If  $F: M \rightarrow \ell$  takes w.c. between cofibrant objects to  $\text{ROS}$ , then  $LF := \text{Ho}(FQ)$  is a left derived functor of  $F$ .

Dually, if  $F$  takes w.c. between fibrant objects to  $\text{ROS}$ , then  $RF := \text{Ho}(FR)$  is a right derived functor of  $F$ .

Proof.  $FQ$  homotopical by assumption  $\rightsquigarrow \text{Ho}(FQ)$ .

Right Kan extension of  $F$  along  $\gamma$ :

$$\begin{array}{ccc} M & \xrightarrow{F} & \ell \\ \gamma \downarrow & \nearrow \text{Ho}(FQ) & \\ \text{Ho}(M) & & \end{array}$$

$$FQ: FQ = \text{Ho}(FQ) \circ \gamma \Rightarrow F = \text{Fid.}$$

$$\begin{array}{ccc} GQ & \xrightarrow{\alpha Q} & FQ \\ \downarrow Gq & & \downarrow FQ \\ G & \xrightarrow{\alpha} & F \end{array}$$

g

Using  $\text{Fun}(\text{Ho}(M), \mathcal{C}) \simeq \text{Fun}^{\text{ho}}(M, \mathcal{C})$ , consider  $G: M \rightarrow \mathcal{C}$

homotopical and  $\alpha: G \Rightarrow F$ . By naturality,  $\alpha$  factors as

$$\alpha: G \xrightarrow{(GQ)^{-1}} GQ \xrightarrow{\alpha Q} FQ \xrightarrow{FQ} F$$

( $GQ$  is so since  $G$  homotopical,  $FQ \circ \alpha Q \circ (GQ)^{-1} = \alpha \circ (GQ \circ (GQ)^{-1}) = \alpha$ .)

Uniqueness: Suppose  $\alpha$  factors as

$$\alpha: G \xrightarrow{\beta} FQ \xrightarrow{FQ} F.$$

By assumption on  $F$ ,  $FQQ: FQ^2 \Rightarrow FQ$  is an iso, so  $\alpha Q \circ (GQ)^{-1}$  and  $\beta$  agree on cofibrant replacements. Naturality

of  $\beta$ :

$$\begin{array}{ccc} (G \text{ and } FQ \text{ homotopical}) & \begin{array}{c} GQ \xrightarrow{\beta Q} FQ^2 \\ \Downarrow GQ \cong \Downarrow FQQ \\ G \xrightarrow{\beta} FQ \end{array} & \begin{array}{c} \cong \\ \Downarrow FQQ \end{array} \end{array}$$

So  $\beta$  is determined by  $\beta Q$ .

Absoluteness: if  $H: \mathcal{C} \rightarrow D$  is any functor, then  $HFQ$  is also homotopical. Hence:

$$H \circ \text{Ho}(FQ) \circ \gamma = HFQ = \text{Ho}(HFQ) \circ \gamma \rightsquigarrow H \circ \text{Ho}(FQ) = \text{Ho}(HFQ).$$

Argument above shows that

(up of localisation)

$$(\text{Ho}(HFQ)) = H \circ \text{Ho}(FQ), HFQ$$

is a right Kan extension of  $HF$  along  $\gamma$ .  $\square$

Def. A total left derived functor of  $F: M \rightarrow N$  is a left derived functor  $\mathrm{LF}: \mathrm{Ho}(M) \rightarrow \mathrm{Ho}(N)$  of the composite

$$M \xrightarrow{F} N \xrightarrow{\delta} \mathrm{Ho}(W): \quad \begin{array}{ccc} M & \xrightarrow{F} & N \\ \downarrow \gamma & & \downarrow \delta \\ \mathrm{Ho}(M) & \xrightarrow{\mathrm{LF}} & \mathrm{Ho}(N) \end{array}$$

Cor. If  $F: M \rightarrow N$  takes w.c.s between cofibrant objects in  $M$  to w.e.'s in  $N$ , then  $\mathrm{LF} := \mathrm{Ho}(SFQ)$  is a total left derived functor of  $F$

apply levelwise

Ex.  $F: \mathrm{Mod}_R \rightarrow \mathrm{Mod}_S$  additive  $\Rightarrow F: \mathrm{Ch}_{\geq 0}(S) \rightarrow \mathrm{Ch}_{\geq 0}(R)$  preserving chain homotopies. Quasi-isos between complexes of projectives are chain homotopies, so  $\bar{F}$  takes w.e. between fibrant complexes (w.r.t. proj. mod. str.) to w.e.

$\rightsquigarrow \mathrm{LF}: \mathrm{D}_{\geq 0}^+(\mathrm{Mod}_R) \rightarrow \mathrm{D}_{\geq 0}^+(\mathrm{Mod}_S)$  by projective resolution and  $F$

$$\begin{array}{ccc} \mathrm{deg} \circ \uparrow & & \downarrow M_i \\ \mathrm{Mod}_R & \dashrightarrow & \mathrm{Mod}_S \\ \mathrm{LiF} & & \end{array} \quad \text{(right exactness assumed to get the l.e.s)}$$

Often derived functors arise from adjunctions.

Def. An adjunction  $M \xrightleftharpoons[F]{\perp} N$  is a Quillen adjunction if  $F$  preserves cofibrations and  $G$  preserves fibrations.

Lem. For  $M \xrightleftharpoons[F]{\perp} N$ , TFAE:

- ①  $F$  preserves col. + ac. col.
- ②  $h$  — fib. + ac. fib.
- ③  $F$  preserves col +  $h$  preserves fib.
- ④ — ac. col. + — ac. fib.

This follows from:

Lem.  $C \xrightleftharpoons[F]{\perp} D$  adjunction. Then  $F i \square p \Leftrightarrow i \square Up$ .

Proof (sketch).

$$\begin{array}{ccc}
 FA \rightarrow X & A \rightarrow hX \\
 Fi \downarrow \dashv \uparrow p & \curvearrowright i \downarrow \dashv \uparrow Up \\
 FB \rightarrow Y & B \rightarrow hY
 \end{array}$$

□

Lem (Ken Brown). If  $F: \mathcal{M} \rightarrow \mathcal{W}$  takes w.e. between cofibrant objects to w.e.'s, then  $F$  takes all w.e.'s between cofibrant objects to w.e.'s.

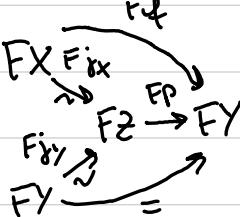
Proof. Let  $\ell: X \hookrightarrow Y$  be a w.e. between cof. objects:

Factor  $(\ell, i, \text{id})$ :  $X \amalg Y \rightarrow Y$ :

(cograph)



Apply  $F$ :



$\xrightarrow{2/3} F\ell$  w.e.

□

Thm.  $M \begin{array}{c} \xleftarrow{\quad u \quad} \\[-1ex] \xrightarrow{\quad F \quad} \end{array} W$  Quillen adjunction. Then the total derived functors exist and form an adjunction

$$\text{Ho}(M) \begin{array}{c} \xrightarrow{\quad \text{LF} \quad} \\[-1ex] \downarrow \\[-1ex] \xleftarrow{\quad \text{R}\text{u} \quad} \end{array} \text{Ho}(W)$$

Proof due to Georges Maltsiniotis, 2007

Proof. Existence: Ken Brown's lemma and earlier results.

$\eta: \text{id}_M \Rightarrow \text{LF}$ ,  $\varepsilon: \text{Fu} \Rightarrow \text{id}_W$  unit and counit,

$$\begin{array}{ccc} M & \xrightarrow{\quad F \quad} & W \\ \gamma \downarrow & \uparrow \lambda & \downarrow \delta \\ \text{Ho}(M) & \xrightarrow{\quad \text{LF} \quad} & \text{Ho}(W) \end{array} \quad \begin{array}{ccc} W & \xrightarrow{\quad u \quad} & M \\ \delta \downarrow & \downarrow \rho & \downarrow \gamma \\ \text{Ho}(W) & \xrightarrow{\quad \text{R}\text{u} \quad} & \text{Ho}(M) \end{array}$$

$\text{LF}$  abs. right Kan ext. of  $\delta F$  along  $\gamma$

$\hookrightarrow \text{R}\text{u} \circ \text{LF}$  right Kan ext of  $\text{R}\text{u} \circ \delta F$  along  $\gamma$

Natural transformation

$$\gamma \xrightarrow{\gamma\eta} \gamma \circ \text{LF} \xrightarrow{\text{PF}} \text{R}\text{u} \circ \delta F$$

induces derived unit  $\tilde{\eta}$ :

$$M \xrightarrow{\text{R}\text{u} \circ \delta F} \text{Ho}(W)$$

$$\gamma \swarrow \quad \uparrow \text{PF} \circ \gamma\eta \quad =$$

$$M \xrightarrow{\text{R}\text{u} \circ \delta F} \text{Ho}(W)$$

$$\gamma \swarrow \quad \uparrow \text{R}\text{u} \circ \text{PF} \quad \text{R}\text{u} \circ \delta F \quad \uparrow \text{R}\text{u} \circ \gamma\eta$$

$\hookrightarrow$

Obtain derived unit  $\tilde{\eta}: \text{id}_{\text{R}U(\mathcal{C})} \Rightarrow \text{R}U \circ \text{LF}$  and counit

$\tilde{\epsilon}: \text{LF} \circ \text{R}U \Rightarrow \text{id}_{\text{R}U(\mathcal{C})}$ . Satisfying:

$$\text{R}U \tilde{\eta} \circ \tilde{\eta} F = \text{PF} \circ \chi_{\mathcal{C}}, \quad \tilde{\epsilon} \delta \circ \text{LF} P = \delta \epsilon \circ \lambda U.$$



Triangle identities: from LR of LF and RUL (uniqueness to see something is the identity), ~~⊗~~ and Symbol pushing. (Naturality  $\tilde{\eta}, \tilde{\epsilon}, \tilde{\chi}$ ; triangle id's  $F+U$ )  $\square$

Model Categorical Criterion for when a Quillen adjunction is an equivalence.

Def. A Quillen adjunction  $M \begin{smallmatrix} F \\ \perp \\ u \end{smallmatrix} W$  is a Quillen equivalence if for all cofibrant  $A$  in  $M$  and all fibrant  $X$  in  $W$ ,  
 $f^*: FA \rightarrow X$  w.e.  $\iff f_*: A \rightarrow UX$  w.e.

Prop.  $M \begin{smallmatrix} F \\ \perp \\ u \end{smallmatrix} W$  Quillen adjunction. Then  $F+U$  is a Quillen equivalence if and only if the derived adjunction  $\text{LF} \dashv \text{R}U$  is an adjoint equivalence.

## § Examples

### ① Spaces

Thm.  $\text{SSet}_{\text{kan}} \xrightleftharpoons[\text{Sing}]{\text{H}}$   $\overline{\text{Top}}_{\text{Quillen}}$  is a Quillen equivalence.  
 $\Downarrow$  = fundamental  $\infty$ -groupoid

②  $(\infty, 1)$ -categories  
 $\mathcal{C}$   $\curvearrowleft$  rigification

Thm.  $\text{SSet}_{\text{Joyal}} \xrightleftharpoons[\text{Nerve}]{\perp} \text{SSet}_{\text{Berger}}$  is a Quillen equivalence.

③ Equivariant homotopy theory  $(G$  finite group)

Thm (Elmendorf).  $\text{Fun}(\text{Dvb}_G^{\text{op}}, \overline{\text{Top}}) \xrightleftharpoons[\text{Proj}]{\perp} G\text{-Top}_{\text{f.p.}}$  is a Q.E.

### ④ Dold-Kan

W.C. +  $\rightsquigarrow$   
 f.b. pointwise  
 $\Updownarrow$   
 W.C. + lib. created  
 by fixed points via

Thm (Schwede-Shipley).  $\text{Ch}_{\geq 0}(\mathbb{I}) \xrightleftharpoons[\text{Proj}]{\perp} \text{SAb}_{\text{Kan}}$  is a Q.E.

already one  
 equiv at 1-cats, so either we is left/right adj.